

**THE INVESTMENT AND FINANCIAL ANALYSTS ACT
(No. 13 of 2015)**

APPLICATION FOR REGISTRATION OF FIRM TO ENGAGE IN THE PUBLIC PRACTICE OF FINANCIAL AND INVESTMENT ANALYSIS AND PROVISION OF OTHER SERVICES UNDER THE INVESTMENT AND FINANCIAL ANALYSTS ACT

Application made pursuant to the provisions of sections 18 (1) and 21 (1) of the Investment and Financial Analysts Act, No. 13 of 2015:

1. Details of Firm

- a) Name of Firm.....
- b) Postal Address: P O Box.....Postal Code..... City/Town.....
- c) Physical Address of Registered Office
- d) Telephone / Cell Number.....
- e) Email.....

2. Declaration

We hereby declare that our organization is engaged in financial and investment analysis as defined in the IFA Act and has the following persons who are members of ICIFA in good standing and hold Practising Certificates and valid Annual Licences issued by ICIFA.

Details of ICIFA Members with Practising Certificates Employed by the Firm:

| Name | Current Position | Years in the Firm | Practicing Certificate No. |
|-------------|-------------------------|--------------------------|-----------------------------------|
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You may add more space for additional names.

3. Has any Director / Partner / Secretary / Member of Senior Management of the firm been denied any registration or approval under any other Act, e.g. CMA Act, RBA Act, Accountants Act, or any relevant legislation? **Yes/No**. If 'yes', please provide details:

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4. Has any Director / Partner / Secretary / Member of Senior Management of the firm been subjected to any form of disciplinary action by any professional or regulatory body? **Yes/ No**. If 'yes', please provide details:

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5. If the firm is or will be engaged in the public practice of financial and investment analysis and any other related services defined in the Act and/or Regulations, please indicate the exact nature of the business of the firm:

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6. Is there any other relevant information? **Yes / No**. If 'yes', please provide details:

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Dated this day of 20

Signed:..... By.....

Designation

Company Rubber Stamp